

11/21/01

11-23-01

A

Please type a plus sign (+) inside box ☒UTILITY
PATENT APPLICATION
TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

ORT-1527

First Inventor

Thomas P. Burris et al.

Title

BIOLOGICALLY ACTIVE 4H-BENZO[1,4]OXAZIN-3-ONE

Express Mail Label No.

EL710839221US

11000 U.S. PTO
09/29/01
194060

11/21/01

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)2. ☐ Applicant claims small entity status.3. ☒ Specification [Total Pages 76]

(Preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) [Total Sheets]

5. Oath or Declaration [Total Pages 4]

a. ☒ Unexecuted (original or copy)b. ☐ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)b. ☐ Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement(IDS)/PTO-1449 ☐ Copies of IDS

Citations

13. ☒ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Request and Certifications under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.17. ☐ Other18. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) of prior application No.: 09/854,302, filed May 11, 2001.

Prior application information: Examiner

Group Art Unit: 1646

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000027777 or ☐ Correspondence Address below

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20. TELEPHONE CONTACT

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21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

Ellen Ciambrone Coletti

Reg. No. 34140

SIGNATURE

DATE

November 21, 2001

FEE TRANSMITTAL*Complete if Known*


Application Number	
Filing Date	November 21, 2001
First Named Inventor	Thomas P. Burris et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	ORT-1527

FEE CALCULATION**CLAIMS AS FILED**

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	19 - 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	1 - 3 =	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$270.00	
			TOTAL FEES	\$ 740.00

METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/ORT-1527/ECC in the amount of \$740.00. Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ORT-1527/ECC. Three copies of this sheet are enclosed.

SUBMITTED BY:		<i>Complete (if applicable)</i>	
Typed or Printed Name	Ellen Ciambrone Coletti		Reg. No. 34,140
Signature		Date: 11/21/01	Deposit Account No. 10-0750

0990451-112101

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant: Thomas P. Burris, Donald W. Combs, Philip J.
Rybczynski and Joseph Dudash, Jr.

For : BIOLOGICALLY ACTIVE 4H-BENZO[1,4]OXAZIN-3-ONES

Express Mail Certificate

"Express Mail" mailing number: EL710839221US

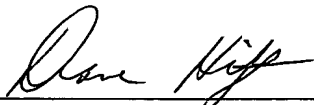
Date of Deposit: November 21, 2001

I hereby certify that this complete continuation-in-part application, including specification pages, claims, and preliminary amendment, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

A Combined Declaration and Power of Attorney will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Diane Hetzler

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

0996461-12101